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CYNGOR SIR
YNYS MÔN
ISLE OF ANGLESEY
COUNTY COUNCIL

Dr Gwynne Jones.
Prif Weithredwr – Chief Executive
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RHYBUDD O GYFARFOD	NOTICE OF MEETING
PWYLLGOR CYSWLLT Y SECTOR GWIRFODDOL	VOLUNTARY SECTOR LIAISON COMMITTEE
DYDD IAU, 13 HYDREF 2016 am 2.00 o'r gloch	THURSDAY, 13 OCTOBER 2016 at 2.00 pm
CANOLFAN GYMUNEDOL GWELFOR, CAERGYBI	GWELFOR COMMUNITY CENTRE, HOLYHEAD
Swyddog Pwyllgor	Shirley Cooke 01248 752514 Committee Officer

AELODAU / MEMBERS

CYNGOR SIR YNYS MÔN / ISLE OF ANGLESEY COUNTY COUNCIL

Cynghorwyr/Councillors Jim Evans, Aled Morris Jones (Aelod Portffolio Gwasanaethau Cymdeithasol/Portfolio Member for Social Services), Alun Mummery, Alwyn Rowlands, Ieuan Williams

SECTOR GWIRFODDOL / VOLUNTARY SECTOR

Mr Islwyn Humphreys (Samariaid/Samaritans)
Mr Andrew M Hughes (Medrwn Môn)
Ms Eleri Lloyd Hughes (Age Cymru)
Mrs Margaret Roberts (Llywydd/President of Merched y Wawr)

IECHYD/ HEALTH

Wyn Thomas, Cyfarwyddwr Cynorthwyol Datblygu Partneriaeth Cymunedol,
BIPBC/Assistant Director of Community Development, BCUHB

A G E N D A

1 CHAIRPERSON

To elect a Chairperson for the Committee.

2 VICE-CHAIRPERSON

To elect a Vice-Chairperson for the Committee.

3 DECLARATION OF INTEREST

To receive any declaration of interest from any Member or officer regarding any item of business.

4 MINUTES (Pages 1 - 6)

To submit for confirmation, the minutes of the previous meeting of the Voluntary Sector Liaison Committee held on the 8th July, 2016.

5 STRATEGY/VISION RE: WORKING IN PARTNERSHIP WITH THE VOLUNTARY SECTOR ON ANGLESEY (Pages 7 - 10)

To submit a report by Medrwn Môn in relation to the above.

6 THE ANGLESEY COMPACT AND THE ANGLESEY CODE OF PRACTICE FOR FUNDING THE THIRD SECTOR (Pages 11 - 44)

To submit a report in relation to the above, as presented to the Executive on 14th March, 2016.

7 COMMUNITY VOICES

Medrwn Môn to provide an update.

8 THE FORWARD WORK PROGRAMME (Pages 45 - 58)

To submit for information, a report by the Head of Democratic Services, as submitted to the Executive on 19th September, 2016.

9 NEXT MEETING

To consider a venue for the Committee's next meeting scheduled for 2:00pm on 12th January, 2017.

VOLUNTARY SECTOR LIAISON COMMITTEE

Minutes of the meeting held on 8th July 2016

PRESENT: Mr Islwyn Humphreys (Chair)

Local Authority

Councillors Jim Evans, Aled Morris Jones, Alun Mummery

Voluntary Sector

Mr Andrew M Hughes (Medrwn Môn)

IN ATTENDANCE: Mrs Annwen Morgan (Assistant Chief Executive – IOACC)
Mr Alwyn R Jones (Head of Adults' Services)
Mr John R Jones (Chief Officer, Medrwn Môn)
Ms Lyndsey Williams (Community Voices – Medrwn Môn)
Shirley Cooke (Committee Officer – IOACC)

APOLOGIES: Councillors Alwyn Rowlands, Ieuan Williams;
Ms Eleri Lloyd Jones (Age Cymru - Gwynedd and Môn);
Mr Wyn Thomas (Assistant Director of Community Development,
BIPBC)

1 CHAIRPERSON

The Committee discussed electing a Chair for the Liaison Committee for the forthcoming year.

It was agreed to defer electing a Chairperson until the next meeting of the Liaison Committee, to obtain clarification on the Betsi Cadwaladr University Health Board's current role within the Committee.

2 VICE-CHAIRPERSON

As above.

3 DECLARATION OF INTEREST

No declaration of interest was received.

4 MINUTES - 15TH JANUARY, 2016

The minutes of the previous meeting of the Voluntary Sector Liaison Committee held on 15th January, 2016 were presented and confirmed as correct.

Arising thereon:-

Item 3 - Minutes - 14 October, 2016

The Chief Officer, Medrwn Môn referred to the first point in Item 3 of the minutes. At the previous meeting of the Liaison Committee, it was agreed with the Council Leader that the Chief Officer could have a copy of the Head of Resources' detailed analysis of the Authority's investment in the Third Sector. The Chief Officer stated that this information has not been made available to him to date.

ACTION:

Assistant Chief Executive to follow up with the Head of Resources the Chief Officer, Medrwn Môn's request for information on the Authority's investment in the Third Sector.

5 REVIEW OF THE FUNDING CODE OF PRACTICE AND THE VOLUNTARY SECTOR ALLOCATION 2015/16

The Chief Officer, Medrwn Môn reported that the Funding Code of Practice has been agreed and Voluntary Sector's funds have been allocated. He stated that the Committee now have an opportunity to review the Code of Practice. It was noted that Medrwn Môn have sent out a questionnaire to the Voluntary Sector to review experiences, progress and communication.

With reference to reduced funding for the Third Sector, the Chair, representing the Samaritans on this Committee, reported that the Charity had not been allocated funding for this financial year. The process of form filling had created barriers due to confidentiality. He stated that a new approach would need to be considered for future funding of the Third Sector.

The Chief Officer, Medrwn Môn referred to the Charitable Trust, and requested clarity from the Head of Resources on any potential funding available through the Trust.

ACTION: As above.

RESOLVED to note the report.

6 THE VOLUNTARY SECTOR'S STRATEGY AND THE LIAISON COMMITTEE'S ROLE

The Chief Officer, Medrwn Môn reported that the Compact has been agreed, including the principles and the Funding Code of Practice, which demonstrate the obligations of the Volunteering Policy and Working in Partnership document.

It was noted that progress had been made in several areas to develop effective engagement arrangements for partnership working. However, more can be achieved on a more formal basis by structuring the relationship and developing one

strategy for joint working eg. Compact, Lleisiau Lleol, Engagement Board, AONB, Anglesey Welsh Language Forum.

It was agreed that the Medrwn Môn Board discuss further options to build on the current partnership arrangements. The Chief Officer agreed to draft a strategy to encompass the above documents and how they could be used in practice.

ACTION: As above.

RESOLVED to note the report.

7 THE VOLUNTARY SECTOR'S ROLE IN THE COUNTY COUNCIL'S SCRUTINY STRUCTURE

Clarity was sought on why the Voluntary Sector does not have a statutory role on Scrutiny Committees.

Following consultation with the Head of Function (Council Business), the Assistant Chief Executive confirmed that the Third Sector's role and attendance at both Scrutiny Committees was by invitation only. It was noted however, that members of the Roman Catholic Church and the Church in Wales were invited to attend as co-opted members.

The Chief Officer, Medrwn Môn reported that he is regularly invited to attend the Scrutiny Committees by the Head of Democratic Services and is provided with a copy of the Scrutiny Work Programme and Executive's Forward Work Programme, which is of interest to the Third Sector. He further reported that although representatives from the Third Sector have no voting rights, he hoped that the Scrutiny Committees would be able to benefit from the work of the Citizens Panel. He stated that he would like a more formal process to be adopted.

Councillor Aled Morris Jones suggested that Medrwn Môn should be supported, and **the Voluntary Sector's role in the Partnership and Regeneration Scrutiny Committee's be formalised with voting powers.**

ACTION: As above.

RESOLVED to note the report.

8 UPDATE ON THE DEVELOPMENTS OF THE CITIZEN'S PANEL

The Project Manager (Community Voices) provided an update on the Community Voices's Citizens Panel and the Youth Panel. With regard to community engagement, it was noted that the Project Manager had attended meetings of the Chairs and Vice-Chairs of the Scrutiny Committee recently.

The Project Manager referred to the framework adopted by the project for community engagement activities including the establishment of the Citizen's Panel and Youth Panel.

The Project Manager referred to Lottery Funding, and that Medrwn Môn would be seeking external funding for continuation of the projects but that as the funding currently stands, funding for Llais Ni would be ending in April.

RESOLVED to note the report.

9 PREPARING FOR THE FUTURE RE: SOCIAL SERVICES AND WELL-BEING (WALES) ACT 2014 AND FUTURE GENERATIONS

The Head of Adults Services gave a summary of the Social Services and Well-being (Wales) Act, 2014, which has been in force since 1st April, 2016 together with key challenges, service issues and future demands.

He referred to the aims of the Act as follows:-

- giving the individual a voice, with power over the services they receive;
- enabling individuals to achieve by building on their circumstances, abilities, networks and communities;
- early intervention - including preventative services;
- co-working and co-designing strong partnerships.

Arising from discussion, it was reported that **the Assistant Chief Executive invite Llio Johnson, the Senior Partnership Manager to attend the next meeting.**

Action: As above.

RESOLVED to note the report.

10 COMMUNITY VOICES

The Project Manager (Community Voices) provided an update to the Committee on the key tiers of consultation used in partnership work between Community Voices and the County Council in relation to the following:-

1. The 'Building Communities Approach';
2. The 'middle of the road' approach (Libraries Consultation/Budget Consultation/Community Hubs Consultation);
3. The 'basic' approach' (Breakfast Clubs/Extra Care Approach)

Reference was made to the framework and methodology used.

RESOLVED to accept the report.

11 THE FORWARD WORK PROGRAMME

The Executive's Forward Work Programme for the period from July, 2016 to February, 2017 was presented and noted.

RESOLVED to note the report.

12 NEXT MEETING OF THE LIAISON COMMITTEE

It was agreed that the next meeting of the Committee scheduled for Friday, 13th October, 2016 be held at Gwelfor Community Centre, Holyhead.

The Chair thanked Mr John R Jones for his tireless contribution to the Voluntary Sector and Medrwn Môn, and wished him health and happiness in his retirement.

Mr Islwyn Humphreys
Chair

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VOLUNTARY SECTOR LIAISON COMMITTEE, 13 OCTOBER 2016

Report by Medrwn Môn

Strategy/Vision regarding working in partnership with the voluntary sector in Anglesey.

1. Why create a strategy / vision?

There are significant changes in circumstances which affect the relationship between Anglesey County Council and the voluntary sector on the Island e.g. financial, legislative, social (*specific examples*) requiring a better understanding regarding what the sector has to offer to work more effectively in partnership with the Council for the benefit of the community and Anglesey.

The challenge that faces us is to transform the way we sustain and develop the services in the community and, where appropriate, use the strengths within the sector and the community.

This strategy will harness the social capital of our communities, create new useful contacts by developing and improving resilience and welfare.

Also, the strategy will co-ordinate what has previously been developed e.g. the Compact, the Funding Code and Voluntary Policy and Partnership Policy.

2. What is our understanding of the voluntary sector?

The voluntary sector in Wales is defined as: 'voluntary bodies, community groups, volunteers, self-help groups, co-operative companies and social enterprises, religious organisations and other bodies and organisations that do not operate for profit and which benefit the communities and people of Wales.

(See also the definition in the Financing Code)

Social capital refers to the network of contacts amongst individuals and organisations who live and work in that particular community so that it can operate effectively.

This strategy will enable us to understand which services the voluntary sector can offer but on the other hand there will be an understanding regarding what is not suitable for them to undertake.

3. Purpose – How will this assist us?

The strategy/vision will enable us to work more effectively with our partners in the voluntary sector and to develop structured opportunities for them to provide services that will benefit the community.

This will be achieved through the following:

- i. **Public Engagement** – the right for individuals and organisations to voice an opinion; engage with individuals and ascertain

opinions; make a difference to individuals/organisations by enabling implementation; improving equality through a strong joint voice.

- ii. **Increasing Support** – discover local needs and aspirations; understand local gaps and needs; plan and co-ordinate services to respond to gaps and local needs; trust and develop the local ability to respond; look at sustainability resources; support continuity by strengthening local networks.
- iii. **Collaboration** – create a relationship with partners; build a cross-sector relationship; support the provision of services by one or more providers in the voluntary sector; reduce the cultural barriers and work in partnership.

4. Benefits – What difference will this make?

The following differences will be made by developing the strategy/vision:

Better understanding of the number and size of activities the voluntary sector provides on Anglesey.

Better understanding of needs without response leads to better planning.

Create a response framework

Recognise the right of the voluntary sector to challenge decisions through the Citizen Panel /

Contribute and enrich the Scrutiny Structure

Arrange to co-ordinate and create new structures in response to needs

Current analysis of gaps in services

Improve the cross sector working relationship

Improve planning to expand finance and resources

Recognise which partner can deliver a service most effectively

Better co-ordination to assess and finance the needs that arise

More opportunities to develop the skills of volunteers

Create a quality assurance framework for the sector

Consistent approach to solving problems in Anglesey. Co-ordinate additional external resources.

5. Current supporting Plans / Policies

National:

Alternative delivery models in public service delivery

The Principles for Working with Communities

Volunteering Statement

Local:

a. Compact

b. Financing Code

- c Volunteering Policy
- ch Partnership Strategy
- d Local Voices
- dd Engagement Board
- e Citizenship Panel
- AYB etc.

6. Current Contacts

Contact Committee
Engagement Board
AOHN
Partnership and Young People Board
IDB
Partneriaeth Môn Partnership
Language Forum

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ISLE OF ANGLESEY COUNTY COUNCIL	
REPORT TO:	EXECUTIVE COMMITTEE
DATE:	14 MARCH 2016
SUBJECT:	The Anglesey Compact and the Anglesey Code of Practice for Funding the Third Sector
PORTFOLIO HOLDER(S):	CLLR. H E JONES
HEAD OF SERVICE:	MARC JONES
REPORT AUTHOR:	CLAIRE KLIMASZEWSKI/BETHAN HUGHES-OWEN
TEL:	01248 751865
E-MAIL:	ClaireKlimaszewski@ynysmon.gov.uk
LOCAL MEMBERS:	N/A
A - Recommendation/s and reason/s	
<p>1. PURPOSE</p> <p>To submit the revised Compact Agreement between the Council and the Third Sector for approval, including the Anglesey Code of Practice for Funding the Third Sector.</p> <p>2. BACKGROUND</p> <p>In November 2014 an independent review of Third Sector Funding arrangements was commissioned. The review aimed to identify the amount of funding arrangements which exist between the Council and the Third Sector. The review also evaluated the procedures and systems in place to monitor the funding arrangements with the Third Sector. The review was commissioned in order to comply with the Welsh Government Code of Practice for Funding the Third Sector and in response to audit recommendations. The review identified that approximately £10m was spent on funding arrangements with the Third Sector. This covered a number of services within the Council and the arrangements related to the a variety of goods, services and grants. The most significant expenditure with the Third Sector was on specialist social care placements. The review also identified that approximately 50% of the funding arrangement were funded by external grant, largely from Welsh Government. The review made a number of recommendations for improving procedures in relation to Third Sector funding arrangements. One recommendation was to review the Compact so that it complies with Welsh Government requirements. Another key recommendation was that an Anglesey Code of Practice for Funding the Third Sector be produced to incorporate Welsh Government requirements but also to set out consistent procedures to be applied across the Council in relation to Third Sector funding arrangements.</p> <p>3. ANGLESEY COMPACT</p> <p>3.1 The Anglesey Compact is a partnership agreement between the Third Sector and the Isle of Anglesey Council Council. It provides a framework within which the Council and the Third Sector can work effectively together to improve the quality of life for the people of Anglesey. Each Welsh local authority is required to have a compact with the Third Sector. This is usually with the Voluntary Sector Council (VSC) for their local area. Medrwn Môn is Anglesey's VSC and their role is to represent the sector as a whole hence Medrwn Môn is a key signatory of the Compact. The original compact between the Isle of Anglesey County Council and the Third Sector was published in 2007. Anglesey Local Health Group was also party to this compact. On 18 March 2013 the Executive considered a revised compact which was approved. The Health Board was not a party to this due to the abolition of local health boards and the creation of Betsi Cadwaladr University Health Board which separately agreed a compact for health services with the Third Sector across Wales. In addition to approval of the revised compact, on 18 March 2013 the Executive approved the Volunteering Policy (see Appendix 3).</p>	

3.2 The Welsh Government Code of Practice on Funding the Third Sector

In January 2014 Welsh Government published its revised Third Sector Scheme which included the Code of Practice for Funding the Third Sector. The code states on page 22 that:-

“...All other public bodies (including NHS bodies and local authorities) must comply with this code in cases where Welsh Government has awarded them hypothecated funding. It is also expected that Welsh local authorities’...adherence to these principles (as shown above) will be reflected in local Third Sector Compacts...”

- 3.3 The Compact approved in 2013 has, therefore, been reviewed and updated to reflect the Welsh Government principles in accordance with the code. The Compact was reviewed in partnership with Medrwn Môn. The completed compact was presented to the Voluntary Sector Liaison Committee on 9 July 2015 and it was noted that, following Penaethiaid’s agreement, arrangements would be made to formally launch the Compact and associated Code of Practice. The Compact was signed by the Chair of Medrwn Môn and the Leader of the Council at the Anglesey Show in August 2015. The Compact is appended as Appendix 1 for formal agreement of the Executive.

4. THE ANGLESEY CODE OF PRACTICE FOR FUNDING THE THIRD SECTOR

- 4.1 In addition to the revised Compact an Anglesey Code of Practice for Funding the Third Sector was produced to provide practical guidance on the principles and procedures required for funding arrangements with the Third Sector. This covers services commissioned from the Third Sector and grants to the Third Sector. The code also includes the Welsh Government’s mandatory principles for funding arrangement with the third sector. The Welsh Government’s code requirements relate to hypothecated funding. However, for consistency, the Anglesey Code of Practice for Funding the Third Sector applied to all Third Sector funding arrangements. The Code was produced in partnership with Medrwn Môn and an event was held on 1 October 2016 to consult on the code with representatives from the Third Sector, the Council and service users participating in the consultation event. There was a positive response to the code at the event and the Executive is therefore requested to formally approve adoption of the code (Appendix 2) from April 2016.

5. RECOMMENDATIONS:

- 5.1 That the Executive approve the Anglesey Compact which is set out in Appendix 1.
- 5.2 That the Executive approve the Anglesey Code of Practice on Funding the Third Sector as set out in Appendix 2.
- 5.3 That the Executive approve the Volunteering Code of Practice as set out in Appendix 3.

B - What other options did you consider and why did you reject them and/or opt for this option?

N/A

C - Why is this a decision for the Executive?

This matter is delegated to the Executive.

CH - Is this decision consistent with policy approved by the full Council?

Yes

D - Is this decision within the budget approved by the Council?		
Yes		
DD - Who did you consult?		What did they say?
1	Chief Executive / Strategic Leadership Team (SLT) (mandatory)	
2	Finance / Section 151 (mandatory)	
3	Legal / Monitoring Officer (mandatory)	
4	Human Resources (HR)	
5	Property	
6	Information Communication Technology (ICT)	
7	Scrutiny	
8	Local Members	
9	Any external bodies / other/s	
E - Risks and any mitigation (if relevant)		
1	Economic	
2	Anti-poverty	
3	Crime and Disorder	
4	Environmental	
5	Equalities	
6	Outcome Agreements	
7	Other	
F - Appendices:		
<ul style="list-style-type: none"> • Appendix 1 – The Anglesey Compact • Appendix 2 – The Anglesey Code of Practice for Funding the Third Sector • Appendix 3 – Volunteering Policy (approved by the Executive March 2013) 		
FF - Background papers (please contact the author of the Report for any further information):		

The Anglesey Compact



A Partnership Agreement
between the Isle of Anglesey
County Council and the Third
Sector in Anglesey

Foreword

The Isle of Anglesey County Council (IoACC) Corporate Plan 2013-17 sets out a vision for a 'New Anglesey'; for 'Our Island, Our Future'ⁱ. "The aim for Anglesey County Council is that, by 2017, we will be a well-run Council, innovative and outward looking in our approach, committed to developing our people and partnerships in order to deliver efficient and effective services of good quality, which are highly valued by our citizens"ⁱⁱ.

The needs and hopes of people can be met if sectors are willing to build strong, healthy and vibrant partnerships to improve services and achieve the vision of the plan for Anglesey. This compact is our commitment as a partnership between the third sector and IoACC to work well together to improve outcomes for Anglesey.

This Compact builds on and replaces the previous Compacts between the Third Sector and the Isle of Anglesey County Council. It also complements the Compact agreement between Betsi Cadwaladr University Health Board and the Third Sector across North Wales and the North Wales Police and Crime Commissioner Compact.

The principles contained within the Welsh Government Code of Practice on Third Sector Funding, January 2014 are fundamental to our approach to working in partnership and are reflected in this compact and our Anglesey Code of Practice on Third Sector Funding (2015). All partners are encouraged to support the Compact's principles and practices and any related codes of practice. Medrwn Môn, the County Voluntary Council for Anglesey is a co-signatory to this compact. Medrwn Môn is the umbrella organisation which supports, promotes and advises local third sector organisations. We are delighted to present the revised Anglesey Compact which aims to help us build upon our existing working relationships and improve our partnership working for the benefit of the Isle of Anglesey.

Signed:

Chair of Medrwn Môn

Date:

Leader of IoACC

Date:



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Introduction

The Anglesey Compact is a partnership agreement between the third sector and local authority on Anglesey. It provides a framework within which the development of shared aims, objectives, mutual respect and understanding will assist the ability of the Authority and third sector partners to improve the quality of life for the people of Anglesey. We recognise that we can achieve more for the people and communities of Anglesey by working together, particularly in times of financial constraint.

This Compact and our partnership play a fundamental role in supporting the Council's corporate priority of becoming a Customer, Citizen and Community focused authority. Our third sector partners tend to be 'in-touch' with the community and play a key role in facilitating the involvement of citizens and engaging with them in the design, delivery and improvement of all public services.

The Compact is intended to guide the process of working in partnership to deliver public services. The **Voluntary Sector Liaison Committee** has an important role in implementing the Anglesey Compact.

The Compact is supplemented by the Volunteering Policy and the Anglesey Code of Practice on Third Sector Funding. Other codes of practice and policies may be developed as relevant to build upon our existing positive partnership working. The Welsh Government's Code of Practice on the Funding the Third Sector (2014)ⁱⁱⁱ includes a number of general principles.

These principles as summarised below, form an important basis for the Anglesey Compact and Code of Practice:-

Welsh Government and Anglesey Funding Principles

Any funding arrangement must be in pursuit of the delivery of Council, LSB and Welsh Government strategic policy objectives, as relevant to the funding stream;

- The Council respects and values the sector's independence;
- The Council recognises the importance of early and constructive dialogue with the third sector and will seek to be transparent and objective in its work with the Third Sector. Equally, the third sector will also engage in early dialogue on all matters affecting the Council in relation to its third sector arrangements, including seeking permission when using Council funding for match-funding;
- The Council will seek to make timely decisions about funding and commissioning arrangements in line with the Welsh Government code of practice and the Public Procurement regulations as relevant. The Council will notify third sector organisations about future funding at least three months prior to the expiry of an existing funding agreement;
- Security of funding - the Welsh Government advocates longer term funding arrangements wherever possible and appropriate. The Council acknowledges the benefits of longer-term funding arrangements and will implement longer term funding arrangements where it can and subject to adequate budgetary resources;
- Fair funding levels – inflation will be provided to the Third Sector funding arrangements where affordable. However, in times of financial pressures the Council considers it reasonable to expect constraint to be applied by the third sector organisations too. To help ensure fairness, the Council will aim to take as consistent approach as possible to inflation/efficiency savings as those applied internally. Specific needs of specialist sectors will also be taken into account as far as possible;

- Value for Money – third sector organisations are expected to ensure the economic, efficient and effective use of public money. Value for money considerations also apply to allocation of grants and procurement;
- Full cost recovery – third sector funding for a specific project or service will cover the full cost of running a project or service, including a share of the overheads subject to funding resources available. This excludes where the Council gives a grant or contribution to a third sector organisation towards their core costs;
- Commissioning principles - public bodies are expected to operate best practice commissioning principles and third sector organisations are expected to engage fully with the commissioning processes;
- Payments - the Council will make payments in arrears. Invoices should include adequate detail to explain purpose of the invoice and should be accompanied by monitoring information, as relevant to the funding agreement. In exceptional cases the Council will consider requests for payments in advance in writing where there is strong evidence for the need. Payments in advance will only be made in exceptional circumstances;
- Fair and reasonable treatment – the Council will aim to implement Welsh Government's recommendation as far as practicably possible, that, as good practice, third sector should be provided with twelve weeks consultation and a twelve week notice period before any policy changes or decisions which would lead to any withdrawal or significant reduction of funding;
- Joint approach to monitoring, evaluation and audit – all partners need to engage fully in these essential processes;
- Identifying expertise and developing capability to deliver – The Welsh Government, as does IoACC, recognise that there is a wide range of knowledge and skills within the Third Sector across Wales. The third sector can, and does, make a major contribution to the achievement of Government and Council priorities and to improving the lives of Welsh people and communities of Anglesey. Welsh Government recognises that third sector organisations need support to ensure their potential is fully realised and good practice is shared widely. The diverse nature and skills offered by the approximately 700 third sector organisations operating in Anglesey is recognised by the Council and the third sector may play a key role in the transformation of Council services;
- Diversity – the council recognises the diverse needs of people and communities, and the variety of services to meet these needs. The code of practice also recognises the importance of the Welsh language and that there should be equal opportunities for service users to use Welsh and English languages in the third sector;
- Innovation – the code of practice advocates working in partnership with the third sector and other partners to identify innovative practices that improve the delivery of public services in Anglesey, particularly as part of the Anglesey Transformation agenda;
- Good governance and due diligence – this principle promotes the need for good governance and due diligence from the Council and Third Sector organisations alike;
- Monitoring the code of practice for funding the third sector – the final principle is about compliance with the code. Welsh Government will put robust monitoring processes in place to support compliance with the code. This Ynys Môn version of the code provides procedural guidance which will support compliance with the Welsh Government code.

Source: Welsh Government, Code of Practice for Funding the Third Sector, 2014 p25-35 (adapted)

The aims of the Compact

Through the Compact, we will develop our partnership between the local authority and the third sector on the Isle of Anglesey by helping us to:-

- Communicate better with each other;
- Understand and respect each other's views;
- Work together more effectively to support the people of Anglesey and develop services collaboratively.

Our shared aim is to provide quality services to the people of Anglesey. We recognise that there is added value in working in partnership towards common aims and objectives. Voluntary action and community involvement are an essential part of an inclusive and democratic society and add value to the quality of life on Anglesey. It is recognised that the third sector makes a valuable contribution to the economic, environmental and social development of Anglesey. The differences and diversity between the public sector and the third sector should be respected and valued. Each sector has its own set of responsibilities and constraints. A key focus of our partnership working will be on the wider good for Anglesey and its citizens. This will override individual agendas during our partnership work together.

The relationship between the sectors will be open and respectful and demonstrate trust. Each sector should strive for excellence, equity of access and embrace the principles of sustainable development. When working together, contributions from each sector should be given equal consideration and respect. Organisations in each sector have the right to contribute to, and challenge, matters that affect them.

Together through the Compact and our partnership working we will...

- Help to foster a thriving, diverse and independent third sector on Anglesey with funding and contracting arrangements which comply with the law and financial procedures;
- Promote the effective governance and management of both the local authority and the third sector. It will underpin a shared commitment to building the capacity of third sector organisations where consistent with a shared strategic direction;
- Help to ensure that the third sector not only participates in joint working and partnership structures but exercises a real influence on the planning and development of local services and public initiatives;
- Continue to develop the **Voluntary Sector Liaison Committee** as a liaison mechanism which is integrated with the public sector's decision making processes and the wider joint planning arena. The Committee will have representation from both public and third sector partners;
- Encourage all sectors to learn from best practice and seek continuous improvement in the planning and delivery of services;
- Provide a meaningful and effective procedure for resolving disagreements in a constructive and open way. Responsibility for this will lie with a monitoring body made up of representatives from the Compact partners;
- Whilst noting that the third sector is not a unitary body, it is envisaged that all representatives will have appropriate authority to follow up any apparent breaches of the Compact within their own sector. In the case of the public sector partners, they will have the authority to seek resolution at a senior level if necessary;
- Develop a shared action plan to deliver the objectives of the Compact and produce an annual progress report to the local authority and Medrwn Môn for distribution to third sector organisations;
- Help to ensure a wider and more sustained recognition of the social value of volunteering, in terms of both the personal and professional development of the volunteer and the public services provided.

Undertakings of Partners

The local authority and the third sector will support the development, implementation and monitoring of the Compact, in line with the agreed aim and principles. We will also implement the requirements of the Anglesey Code of Practice on Funding the Third Sector^{iv} and the Volunteering Policy^v as endorsed by Executive on 4 March 2013. This support will include:-

- The partners undertake to maintain high standards of governance and conduct and meet reporting and accountability obligations to funders and users;
- Partners will be encouraged to develop quality standards appropriate to the organisation, including promoting best practice and equality of opportunity in activities, employment, involvement of volunteers and service provision;
- Partners will involve service-users wherever possible and carers where appropriate in the development and management of activities and services; Service user consultation and feedback conducted by the third sector will be communicated to the local authority to support service planning and delivery;
- The partners endorse the need for detailed codes of practice to cover key issues as required and will review their own procedures and timetables in the light of any codes of practice;
- partners will ensure that key staff are able to participate in relevant partnership working arrangements to support this compact and our partnership;
- The partners endorse the need for procedures for resolving disagreements;
- The partners will review the operation of the Compact annually;
- all partners must seek permission from the nominated officer beforehand if any funding is proposed as match-funding for any other funding stream;
- The partners undertake to respect and be accountable to the law. In the case of third sector charities, they will observe the accounting framework and other appropriate guidance as laid down by the Charity Commission and other regulatory bodies.

i IoACC, 2013, Corporate Plan 2013-2017, foreword

ii IoACC, 2013, Corporate Plan 2013-2017, p2

iii Welsh Government, January 2014, The Code of Practice for Funding the Third Sector p25-p35

iv IoACC, 2015, Code of Practice on Funding the Third Sector

v IoACC, 2013, Volunteering Policy



The Anglesey Code of Practice for Funding the Third Sector



This code of practice sets out the principles and procedures for funding arrangements with the Third Sector

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1. Introduction

The Isle of Anglesey County Council (IOACC) values the role of the Third Sector in supporting the Council and delivering projects and services for the benefit of Anglesey.

This code applies to those third sector organisations (including social enterprises) from which the Isle of Anglesey County Council (the 'Council') commissions a service, or to which it provides funding via a grant. Additionally, this code applies across the Council and should be followed by all services and officers when commissioning and funding provision with the third sector.

A group of Third Sector Champions representing departments and functions across the Council will support dissemination of this code and will work together to support the aims of the code.

2. Aims

This code of practice aims to:-

- Build upon the positive relationship that exists between the Council and the Third Sector through fair and transparent third sector funding arrangements which are applied consistently;
- Incorporate the principles and requirements of the Welsh Government Code of Practice on Third Sector Funding (January 2014).

The code complements the Anglesey Compact, which is the strategic Partnership Agreement between the Third Sector on Anglesey and the Council.

3. What is a Third Sector Organisation?

A Third Sector organisation is defined in S16 (2) of the Social Services and Well-being (Wales) Act 2014 (the 'Act') as "an organisation which a person might reasonably consider to exist wholly or mainly to provide benefits for society". In addition, the Act defines social enterprise in S16 (2) of the Act as "...an organisation whose activities are wholly or mainly activities which a person might reasonably consider to be carried out for the benefit of society (its social objects), and which (a) generates its income through business or trade, (b) reinvests most of its profits in its social objects, (c) is independent of any public authority and (ch) is owned, controlled and managed in a way that is consistent with its social objects.

This code uses the term Third Sector to include social enterprises.

4. Underlying principles

The Welsh Government's Code of Practice on Funding the Third Sector includes a number of general principles. These principles, as summarised below, form an important basis for the Anglesey Code of Practice.

- The funding arrangement must be in pursuit of the delivery of Council and Welsh Government strategic policy objectives as relevant to the funding stream;
- The Council respects and values the Sector's independence;
- The Council recognises the importance of early and constructive dialogue with the Third Sector and will seek to be transparent and objective in its work with the Third Sector;
- The Council will seek to make timely decisions about funding and commissioning arrangements in line with the Welsh Government code of practice and the Public Procurement regulations as relevant. The Council will notify Third Sector organisations about future funding at least three months prior to the expiry of an existing funding agreement;
- Security of funding – the Welsh Government advocates longer-term funding arrangements wherever possible and appropriate. The Council acknowledges the benefits of longer-term funding arrangements and will implement longer-term funding arrangements where it can and subject to adequate budgetary resources;
- Fair funding levels – inflation will be provided to the Third Sector funding arrangements where affordable. However, in times of financial pressures, the Council considers it reasonable to expect constraint to be applied by the Third Sector organisations too. To help ensure fairness, the Council will aim to take a consistent approach as possible to inflation/efficiency savings as those applied internally. Specific needs of specialist sectors will also be taken into account as far as possible;
- Value for Money – Third Sector organisations are expected to ensure the economic, efficient and effective use of public money. Value for money considerations also apply to allocation of grants and procurement;
- Full cost recovery – Third Sector funding for a specific project or service will cover the full cost of running a project or service, including a share of the overheads, subject to funding resources available. This excludes where the Council gives a grant or contribution to a Third Sector organisation towards their core costs;
- Commissioning principles – public bodies are expected to operate best practice commissioning principles and Third Sector organisations are expected to engage fully with the commissioning processes;
- Payments - the Council will make payments in arrears. Invoices should include adequate detail to explain purpose of the invoice and should be accompanied by monitoring information as relevant to the funding agreement. In exceptional cases, the Council will consider requests for payments in advance in writing, where there is strong evidence for the need. Payments in advance will only be made in exceptional circumstances;
- Fair and reasonable treatment – the Council will aim to implement Welsh Government's recommendation as far as practicably possible, that, as good practice, Third Sector should be provided with twelve weeks consultation and a twelve week notice period before any policy changes or decisions which would lead to any withdrawal or significant reduction of funding;
- Joint approach to monitoring, evaluation and audit – both the Council and Third Sector organisations will fully engage in monitoring, evaluation and audit procedures;
- Identifying expertise and developing capability to deliver – the Welsh Government, as does IOACC, recognise that there is a wide range of knowledge and skills within the Third Sector. The Third Sector can, and does, make a major contribution to the achievement of Government and Council priorities and to improving the lives of Welsh people and communities of Anglesey. Welsh Government recognises that Third Sector organisations need support to ensure their potential is fully realised and good practice is shared widely. The diverse nature and skills offered by the approximately 700 Third Sector organisations operating in Anglesey is recognised by the Council, and the Third Sector may play a key role in the transformation of Council services;

- *Diversity – the Council recognises the diverse needs of people and communities and the variety of services to meet these needs. The code also recognises the importance of the Welsh language and that there should be equal opportunities for service users to use Welsh and English languages in the Third Sector services. The standards required by the Welsh Language (Wales) Measure 2011 part 4 will need to be complied with by the Council and Third Sector organisations that deliver services on the Council's behalf;*
- *Innovation – the code of practice advocates working in partnership with the Third Sector and other partners to identify innovative practices that improve the delivery of public services in Anglesey, particularly as part of the Anglesey Transformation agenda;*
- *Good governance and due diligence – this principle promotes the need for good governance and due diligence from the Council and Third Sector organisations alike;*
- *Monitoring the code of practice for funding the Third Sector – the final principle is about compliance with the code. Welsh Government will put robust monitoring processes in place to support compliance with the code. This Anglesey version of the code provides procedural guidance which will support compliance with the Welsh Government code*

Source: Welsh Government, 2014, *Code of Practice for funding the Third Sector*, p25-34

5. **Scope**

Third Sector organisations are diverse in legal form, size and services they deliver. They can be community associations; self-help groups; voluntary organisations; charities; faith-based organisations; social enterprises; community businesses; housing associations; development trusts; co-operatives and mutual organisations. (Welsh Government, 2014, p.23)

This Code of Practice relates, primarily, to funding received by the Third Sector from the Council, whether as a grant or a commissioned service.

Where funding is administered by the Council on behalf of a funding body, for example:-

- The Welsh Assembly Government
- Partnership funding

the criteria laid down by the funding body will be followed and will over-ride any provisions of this code.

This code also recognises that many partnership arrangements exist between the Third Sector and the Council where there is no financial award:-

- Payments 'in kind' (e.g. access to accommodation);
- Access to training;
- Multi agency groups which promote a specific initiative.

6. **Funding Mechanisms**

The two main funding mechanisms between the Council and the Third Sector are by:-

Grant to the Third Sector organisation or a service commissioned (contractual arrangements) from the Third Sector organisation.

6.1 **Grant or Procurement**

The procedures for providing grants to Third Sector organisations and commissioning/contractual arrangements with the Third Sector are detailed below. There is sometimes confusion about whether to provide a grant or procure the service from a Third Sector organisation. Welsh Government provides the following guidance:-

Grant v procurement

A key determinant of whether a grant-funded or procured approach should be used is linked to the proximity of the purchase to the Government (or in this case local authority) business needs or policy. In general terms, a grant approach should be used where the government (local authority) wants to financially support external bodies to undertake activities to help meet its policy objectives and priorities. A procurement approach should be used when the Government (local authority) specifically defines the goods and/or services it requires for its direct benefit or use.

- Where the authority does not have the legal power to undertake the work itself, it is unlikely that it could acquire them under contractual arrangements. Instead, a grant could be provided;
- Where the intention is to secure a direct service for the Government (local authority), either in the supply of goods or services or in direct furtherance of its functions or policy objectives, then a procured approach is likely;
- If the council proposes to fund all the requirements for which the funding is being paid, it is likely that procurement would be the best approach to achieve value for money for the authority. Often, grant funding covers only a part of the cost of a project or activity with funding also coming from other sources. This means that other funders also have a stake in determining the direction and outcomes of the work;
- The degree of control also helps to determine whether a grant or a commissioned arrangement is the best approach. The more prescriptive the council wishes to be about specifying the service required or the outputs secured, the greater the presumption there is for proceeding via procurement.

Source: Welsh Government, 2014, Code of Practice for Funding the Third Sector, p38-39 (amended)

6.2 Grant funding to the Third Sector

Grants are provided by the Council to Third Sector organisations as a means of supporting an organisation to undertake activities which are congruent to the Council's priorities. This might be as a grant towards core costs of running and developing an organisation or to support specific projects or services.

6.2.1 Core Funding

The Welsh Government states that, generally, core funding is for financing a Third Sector organisation to carry out activities that the Third Sector organisation chooses, within broad parameters agreed with the Council. Core funding is used when there is a high level of trust between the public body and the Third Sector organisation. Welsh Government, 2014, p. 37. A core funding arrangement has the following characteristics:-

- The management relationship between the Third Sector and the Council is deliberately arm's length;
- The Council will review and confirm its priorities once a year;
- Monitoring and evaluation arrangements are more light touch;
- Accountability arrangements should emphasise the accountability of trustees or equivalent;
- Core funding allows the Third Sector organisation to conduct their key activities in accordance with their governing document;
- Core funding is, usually, provided for a given period (usually no more than 3 years).

6.2.2 Project Funding

A grant towards a project will, usually, be awarded, and then ultimately paid, for the achievement of defined outcomes generated by an individual project. Project funding can be used for revenue costs, for example, salaries and day-to-day running costs and/or capital costs such as the costs associated with the development or purchase of fixed assets such as buildings, as specified in the terms and conditions of the grant. Characteristics include:-

- Project funding tends to be time limited;
- Where the project is very short-term, for example, less than 3 months, and outside the cycle of a financial year, the requirement of three months' notice prior to commencement of the project is relaxed as this might not be realistic or desirable;
- Project funding is provided for specific outcomes.

6.2.3 Procedures to follow when issuing a grant

- (i) Ensure that the right approvals have been sought and that there is authority to offer the grant funding at the very start.
- (ii) Note the Wales Audit Office recommendations included in Appendix 1 and implement recommendations as relevant.
- (iii) Any funding pots of £10,000 or more to be awarded as grants must be advertised to give all relevant organisations the opportunity to bid for grants. This approach is not only more objective and fair but will help to identify and support the organisations which contribute the most to the priorities of the Council.
- (iv) An objective approach must be followed in allocating grants. An evaluation matrix to help score the grant bids and identify how they would support Council priorities should be produced for each grant award exercise. See appendix 2 for an example.
- (v) A panel should be convened to score the bids shortly after the deadline for receipt of bids. This panel should have officers on it which could offer objectivity. Ideally, a multi-agency approach could support objectivity, particularly where the grants being offered are substantial. An officer of Medrwn Môn could be invited to take part in the scoring panel as they support the Third Sector as a whole. However, if Medrwn Môn submits a bid as part of that particular bidding process to the Council, they cannot take part in the selection panel. Other representatives could be invited from individual Third Sector organisations. However, the representative should be impartial and their organisation must not be party or linked to the bidding process. Ideally, and where relevant, a service-user or users should be invited to take part in the panel, however, the service user must not have any links with any of the organisations submitting bids. A clear record of each bidding organisation's score must be kept with a clear record of the reason for the organisations selected (usually, the highest scoring based on a quality/price scoring ratio).

(vi) Write to successful grant applicants with the grant offer, details of when the grant will be paid and targets to be met. The letter must include duration of grant, list of key corporate priorities the grant will contribute to and monitoring information which will be appropriate to the amount of grant being offered (see monitoring section 12). **The grant letter must include the following sentence “this grant is provided and administered in compliance with the Welsh Government Code of Practice on Funding the Third Sector”** (2014, p34). The offer letter should include the requirement to notify the Council as soon as possible if the grant is no longer needed or if an underspend is predicted. The grant can then be reallocated and the Third Sector organisation could be advised to apply for future grant funding if required. A grant acceptance form should be sent with the offer letter, which requires the authorised signatories from the Third Sector organisation accepting the grant offer. The organisation must return this acceptance form within two weeks of the grant offer letter. In addition, the Welsh Government provides the following guidance in relation to grant offers:-

- Use of Funding - it is important that both the Council and Funded body are clear about their respective roles and responsibilities in the use of grant funding;
- Funding pre-conditions - the conditions that a funded body must satisfy before funding will be released;
- How to claim the Funding - this is an important section as it sets out when payments will be made and what funded bodies must do to satisfy Grant Officials that funding has been (or in some cases, will be) used responsibly and for intended purposes only;
- General obligations - refers to the areas for which funded bodies must implement measures to prevent fraud, ensure compliance with all relevant law and regulations, manage risk, and, generally, co-operate with the Council/Welsh Government officials seeking assurance as to the proper use of grant funding;
- Declarations - this is the funded body's formal agreement that it will comply with all the terms and conditions of funding set out in the award letter;
- Notification Events and their consequences - funded bodies must comply with the provisions of this section where one or more prescribed event occurs (e.g. grant has been overpaid or the funded body has failed to comply with funding conditions - a full list is contained in a schedule to the letter). The section also stipulates what Welsh Government may do in response to such notification;
- Audit requirements - as recipients of public monies, it is right and proper that funded bodies account for, and demonstrate appropriate and responsible use of, such monies. Where requested, they must give access to the Council, Welsh Government, Wales Audit Office or European Commission officials (or their representatives) to inspect any funded activities and associated documentation. A clear record of all expenditure relating to the grant should be recorded in the organisation's financial system including evidence of each transaction such as receipts, invoices, bank statements, etc.

(vii) Write to unsuccessful applicants and recommend that the Third Sector organisation contacts Medrwn Môn for advice and support. Offer feedback on request.

- (viii) Chase grant acceptance form if not received. Offer letter could state that the grant will become void if the acceptance form is not signed and returned.
- (ix) Enter the grant in Civica as a commitment against the relevant cost centre, code the commitment (and eventual payment) detail code to D0808 - grants and contributions to Third Sector organisations:-
 - Enter a requisition in Civica which, once approved, becomes an official order – set up as order type with multiple payments e.g. if the grant is paid in four quarterly instalments, set up the order for 4 items;
 - When creating the order, select the following products from within Civica, as relevant:-
 - Grants to Third Sector organisations (quarterly);
 - Grants to Third Sector organisations (six-monthly);
 - Grants to Third Sector organisations (one annual payment);
 - Grants to Third Sector organisations (monthly);
 - New Third Sector creditors are to be created under category A16;
 - Each time a grant instalment is paid, please ensure the payment is matched off against the relevant period in the order and that the instalment is recorded as goods receipted in Civica, so that the commitment is reduced accordingly.
- (x) Create a file (or section within a file) (electronic and/or paper) for each grant funded project where all correspondence and paperwork relating to that grant funded project can be stored. Financial documents need to be retained for six years and the current year by law.
- (xi) Monitor the grant funding arrangement as appropriate to the amount provided. Refer to the section on monitoring. Clawback funding if the terms/targets have not been complied with. This could be reallocated to another project which would deliver the priorities of the Council.
- (xii) Give an indication of the amount of grant, if any, for the next financial year by the end of December prior to the new financial year. The final award cannot be confirmed until the Council's budget has been approved in February preceding the new financial year. Where the grant is an on-going grant, the organisation must have three months' notice of any changes or termination of the grant. This might mean that the grant continues into May/June.

6.3 Contracts/procurement

All purchasing arrangements with the Third Sector, whether for the provision of works, supplies, goods, materials or services, should be completed in line with the Council's Contract Procedure Rules (<http://www.anglesey.gov.uk/council-and-democracy/councillors-democracy-and-elections/constitution/constitution-part-4-rules-of-procedure/constitution-49-contract-procedure-rules/>). Press Ctrl and left click on mouse to go the procedures. New contract procedure rules will be effective from September 2015. Please also refer to the Procurement Handbook for detailed guidance which is available on the intranet or contact procurement@ynysmon.gov.uk.

6.3.1 General Principles

All procurement procedures MUST:-

- Realise value for money by achieving an optimum combination (quality/price);
- Be consistent with the highest standards of integrity;
- Operate transparently;
- Ensure fairness in allocating public contracts;
- Comply with all legal requirements, including the EU Treaty principles;
- Ensure that non-commercial considerations do not influence any contracting arrangements;
- Support all relevant Council priorities and policies;
- Comply with commercial confidentiality requirements as well as relevant statutory requirements, including, but not limited to, the Freedom of Information Act and Data Protection Act.

In all instances where a corporate contract exists for a requirement, the corporate contract must be used. To check whether a corporate contract exists contact the procurement team on procurement@ynysmon.gov.uk

From September 2015, the limits will be:-

Financial limits	Action	Responsible Officer responsibilities
£1 to £9,999 – MINOR CONTRACTS SINGLE QUOTATION PROCEDURE	If there is no corporate contract for the goods/service, the responsible officer should obtain a minimum of one quotation from an approved list of suppliers, where one exists, or a general list of registered suppliers. However, if more than one quotation is sought, there is a greater chance of achieving value for money.	<ul style="list-style-type: none"> • Must assess whether the Third Sector organisation is competent for the purposed intended; • An audit trail must be maintained to show that processes and objective justification is shown for selection of the Third Sector organisation.
£10,000 to £24,999 – SMALL CONTRACTS QUOTATION PROCEDURE	The responsible officer must invite a minimum of three quotations sourced from an approved list of contractors, where a list exists. These contract opportunities <u>could</u> be advertised on www.sell2wales.gov.uk to identify interested providers.	<ul style="list-style-type: none"> • All those invited to quote shall be given access to the same information; • All firms invited to quote should have the same deadline and the quotations shall be evaluated together after the deadline; • An audit trail must be maintained showing what quotations were invited, how they were assessed and the result of the exercise.
£25,000 to EU Threshold (check with the procurement team as the EU Threshold is reviewed periodically) – LARGE CONTRACTS TENDERING PROCEDURE	<ul style="list-style-type: none"> • You <u>must</u> advertise on www.sell2wales.gov.uk to invite expressions of interest (or any such website as the Council shall use in order to comply with its purchasing obligations); • This may be supplemented by advertisement(s) in trade journals at the discretion of the service. 	<ul style="list-style-type: none"> • Refer to tendering procedure 4.9.7 within the contract procedures.

6.3.2 Exceptions to the above rules:-

Except where the Public Contract Regulations 2015 apply (contract the procurement team for advice), the Executive has the power to waive or vary requirements of the rules.

Additionally the rules can be waived or varied where the circumstances are certified by the Head of Function (Council Business)/Monitoring Officer or the Head of Function (Resources)/Section 151 Officer, or their respective deputies, in the following circumstances:-

- Rule 4.9.3.2.1 for works, services and supplies which are either patented or of such special character that it is not possible to obtain competitive prices;
- Rule 4.9.3.2.2 for supplies purchased or sold in a public market or auction;
- Rule 4.9.3.2.3 for works, services or the purchase of supplies involving specialist or unique knowledge or skills;
- Rule 4.9.3.2.4 where an organisation has won a contract for an earlier phase of the work via the competitive process and where the works form part of a serial programme and has previously been identified as such;
- Rule 4.9.3.2.5 for works, services or supplies which are only available from one organisation;
- Rule 4.9.3.2.6 involving such urgency that it is not possible to comply with the Rules;
- Rule 4.9.3.2.7 for specialist art or museum specimens to meet the requirements of an art or cultural event;
- Rule 4.9.3.2.8 in relation to time limited grant funding from an external body where the time limitation does not allow a competitive process to be completed and where the grant conditions allow this;
- Rule 4.9.3.2.9 for social care services under the Health and Social Care Act 2012 or the Children's Act 1989, provided that, where the contract is put out to tender, the tenders are invited or negotiated in accordance with rule 4.9.4.

All contracts must include the following sentence - "this contract has been procured and administered in compliance with the Welsh Government Code of Practice on Funding the Third Sector" (2014, P34).

7. Grant Funding to the Authority

Where the Third Sector grant or contracted service is funded by a grant to the Council, the Authority's grants protocol (2015) should be followed in all cases. In addition, there are grant claim instructions (2015) which must be followed in relation to grant claims. The grant terms and conditions of the specific funding body will override this code in the event of differences. Contact the Corporate grants team for advice:- JennyWhiston@anglesey.gov.uk, 01248 752616.

8. Payments to Third Sector Organisations

- Payments should be paid in arrears; only in rare and exceptional cases should they be paid in advance (with approval of the Head of Service and Section 151 Officer). An exceptional case would be where a small amount of funding is being provided to a small organisation which would not be able to fund expenditure in advance of the payment from the Authority;
- Once a payment is being made this should be matched against the order in Civica and goods receipted, so that it no longer shows as a commitment;

- Each new Third Sector organisation should be flagged within creditors as Third Sector on A16 classification for monitoring purposes;
- Grant funding awards under £2,000 will be made in a single payment;
- Invoices from the Third Sector must be paid within terms of trade;
- Payments will be in accordance with grant or contract terms as relevant and should be made on the basis of information sufficient to determine that the grant or contract terms have been complied with. For example, details of transactions and evidence of these transactions, completion of the required monitoring information, etc.

9. Notification of funding opportunities

Notification of external funding opportunities will be made available as they arise e.g. through Medrwn Môn, the press and sell2wales, through Medrwn Môn and other networks or systems as appropriate e.g. local press.

Where the Council intends to enter into a contractual arrangement for the provision of goods or services, it will follow the procurement procedure laid down in its Contract Procedure Rules to invite tenders or quotations as appropriate. Third Sector bodies may respond to such invitations, and may be awarded contracts if successful. This code complements the contract procedures rules.

10. Types of funding

10.1 Revenue funding

This type of funding supports the delivery of services and includes day-to-day spend on short term costs such as salaries, heating, rent, supplies and services, income.

10.2 Capital funding

This type of funding supports the purchase of assets i.e. assets which are used within the business for longer than one year, for example, property, equipment.

10.3 Revenue and capital funding

This type of funding supports both the delivery of services and the purchase of assets.

11. Timing of funding notification

The Council recognises that funding decisions relating to the overall Council Budget are normally made shortly before the beginning of the financial year to which they relate. This can have a negative effect on Third Sector service provision, recruitment and retention. For those agreements that span or are rolled over into successive financial years, indicative-funding allocations should be made available in December. The Third Sector accepts that this is not guaranteed funding and that no work should be undertaken until written notification of funding has been received.

This code confirms that Third Sector organisations will receive a minimum of 3 months' notice from final notification, e.g. June to 31 May, unless otherwise agreed by both parties.

12. Monitoring and evaluation of performance

Monitoring and evaluation of performance is essential to ensuring proper use of public funds and to identify opportunities for service development. While it must be robust and effective, it should be commensurate with the level of funding.

The Council and the Third Sector recognise that there will be different monitoring and evaluation requirements for different types and levels of funding. These will be based on the principles of value for money.

Monitoring and evaluation requirements will be set out in the funding agreement.

(i) Monitoring

- **Revenue funding for service provision and core activities**

This is the process of gathering and recording information on a regular basis. It keeps a record of the progress made and the work undertaken against agreed objectives, targets and indicators. The Third Sector recognises the need to maintain effective monitoring systems.

- **Capital funding for the purchase of assets**

Evidence that the allocated funding has been spent on the agreed asset will be required together with confirmation of insurance cover. An invoice will be required before funding is released. The Council will expect to secure repayment conditions to ensure the residual value of any capital asset is reimbursed in the event that it is disposed of or otherwise no longer used for the purpose intended.

Funding agreements may include conditions which, if not met, would make the funding repayable to the Council.

(ii) Evaluation

This is the process of:-

- looking at the monitoring and other information;
- consultation with the public and/or the group/s of people benefiting from the Third Sector organisations work and making a judgement about the quality and progress of the work in relation to the agreed expectations and stated aims. It will consider both outputs and outcomes in relation to Council priorities. Evaluation will be undertaken jointly within agreed timescales.

Feedback from monitoring and evaluation will be provided by the Council and will be used as the basis for future discussions about the agreement.

(iii) The Council will provide feedback on monitoring and evaluation.

A Monitoring and Evaluation Framework sets out good practice for the general monitoring requirements for both the Third Sector and for the Council (Appendix 3).

Specific requirements for monitoring and evaluation will be agreed in advance for individual agreements.

Monitoring and evaluation will need to identify how the grant/contract meets the terms and conditions of the financial arrangement including targets achieved and contribution to the priorities of the Council. On a six monthly basis (mid-year and outturn) a financial and outcome report will be prepared to detail the Council's investment in the third sector including the outcomes achieved by that investment.

13. External funding administered by the Council

Payment procedures will be in accordance with the requirements of the funding body. This will be detailed in the application pack.

The requirements for monitoring and evaluation will be made clear in the application pack. This will be in accordance with the requirements of the funding body, e.g. Welsh Assembly Government, Wales European Funding Office.

14. Financial Reporting

All Third Sector bodies will be required to supply copies of their financial statements with funding applications. Charities will adhere to the Charity Commission requirements on financial reporting. Individual funding agreements may specify additional financial reporting requirements in each case.

15. Underspend and overspend

The Third Sector recognises that, where an individual organisation cannot provide the activity or service as agreed, there is likely to be an underspend on the allocated funding. If this occurs, the Third Sector organisation will inform the Council of the anticipated underspend as soon as possible. This will enable contingency arrangements to be agreed.

Overspend remains the responsibility of the Third Sector organisation. The Trustees must assure themselves that they have appropriate processes in place to identify potential under and overspend at an early stage.

The quarterly monitoring arrangements on larger funding arrangements (£25,000 or more) must include details of spend to date, estimated spend to the end of the year and estimated total expenditure for the year (i.e. outturn).

16. Intervention when a funded organisation is in financial or other difficulty or when financial irregularities are suspected

The Code of Practice is designed to reduce the likelihood of an organisation getting into financial or other difficulty. However, where this does occur, the following guidelines should be followed.

Where a funded Third Sector organisation is in financial or other difficulty, the Trustees will give the Council formal early notification of their concerns and full disclosure of relevant matters. Likewise, the Council will give formal notification to a Third Sector organisation should concerns be brought to their attention.

Where any financial irregularities are suspected, the Council, or its appointed representative, will investigate and will require full co-operation and access to staff, records and premises.

The terms and conditions of the agreement may be invoked by either party.

In such circumstances, the Council and the Third Sector will apply the principles of the Compact and will agree actions to be taken by both parties.

17. Application of the code

The development of action plans to implement the good practice guidance in this Code will be the responsibility of individual Council departments and Third Sector organisations. All departments and third sector organisations are expected to comply with this code.

18 Breaches of the code

Breaches of the Code that cannot be resolved between the individual Third Sector organisation and a Council department will be referred to the Council corporate complaints procedure. The outcome will be reported to the Third Sector Liaison Committee.

19. Review of the code

The Code will be reviewed annually by the Section 151 Officer and nominated Lead for the Third Sector.

Changes to the Code will be taken in consultation with the Third Sector Liaison Committee and then passed to the Executive for adoption by the Council.

Signed by: _____
Section 151 Officer of IOACC

Date:

Signed by: _____
Chair of Medrwn Môn

Date:

Date of review: _____

Wales Audit Office

Recommendations for improved grants management in Walesⁱ

- 1) Look to simplify grants portfolio by combining schemes where appropriate.
- 2) Develop systems to ensure that the approach taken to grant funding and the operation of grant schemes is as consistent as possible across internal departments and, where possible, with other funders.
- 3) Work with others to learn from experience, develop complementary schemes and co-ordinate bidding timetables.
- 4) Work singly and together to improve the clarity and accessibility of grant-related information to bidders and encourage community involvement.
- 5) Ensure that risks relating to bidders' viability, capacity and capability are considered at the bidding stage, mitigated by additional support where this would be cost effective and monitored carefully during project delivery.
- 6) Endure that project outcomes and standards of the project and financial management are clearly defined and agreed in writing with recipients before releasing funding.
- 7) Consider the relative merits of approaches other than grant funding, such as procurement, loans and investments before committing to a new or continued grant scheme.
- 8) Take robust action when grants have been overpaid or misused by suspending funding where necessary, recovering appropriate sums and, where funding is continued, strengthening requirements on recipients and monitoring arrangements before releasing further funding.

SAMPLE GRANT APPLICATION SCORING CRITERIA

Criteria:	Partnership	Inclusion	Fit with local priorities	Fit with IACC Priorities	Fit with departmental priorities	Plans for monitoring	Capacity building/ empowerment	Staff awareness/ support measures	TOTAL
Score:	3	3	3	2	3	3	2	2	20
Initiatives									

Partnership [/3] : award a mark for each of the following:

- Evidence that a council/Third Sector partnership has been involved in putting the initiative together
- Clear evidence that the initiative will be delivered through this partnership and of how this will work in practice
- Clear evidence of support from all the partners [e.g. financial contributions, letters of intent]

Inclusion [/3]: award a mark for each of the following:

- Clear argument as to why a group is 'hard to reach' and realistic proposals as to how the initiative will target them
- Evidence that steps are being taken and resources set aside to help groups overcome barriers to involvement [e.g. childcare, access arrangements, community transport, bilingual literature/service]
- If the initiative will be able to engage more than one 'hard to reach' group

Fit with local priorities [/3]: award one mark for each of the following:

- Evidence fit with locally agreed priorities
- Evidence of consultation on fit with local priorities
- Evidence of bringing innovation to the delivery of a local priority

Fit with IACC priorities [2]: award one mark for each of the following:

- Evidence of fit with a IACC priority
- Evidence of fit with more than one IACC priority

Fit with departmental priorities [/3]: award one mark for each of the following:

- Evidence of fit with a departmental priority
- Evidence of fit with more than one departmental priority
- Evidence of bringing innovation to the delivery of a departmental priority

Plans for monitoring [/2] award one mark for each of the following:

- Award one point if monitoring arrangements are set out clearly
- Award an additional point if active public involvement [i.e. as opposed to passive counting of numbers involved etc] is built in as part of the arrangements for monitoring and review [e.g. use of feedback forms, interviews with users, qualitative research]

Capacity building / empowerment [/2] award one mark for each of the following:

- Plans include the passing on of skills to users, carers and other members of the public
- Evidence that clear information [and any necessary support] will be available to enable individuals to make their own decisions

Staff awareness / support measures [/2] award one mark for each of the following:

- Evidence that staff will be made aware of the initiative
- Evidence that staff are supported to help deliver the initiative

Note the scoring criteria could be amended to reflect the specific requirements of the grant funding being allocated.

FRAMEWORK FOR MONITORING AND EVALUATION

Contract Funding value	Form of Agreement (minimum)	Annual monitoring (minimum)	Quarterly monitoring (minimum)	Evaluation
£10,000 and under	<ul style="list-style-type: none"> Letter of acceptance by Budget Holder or Chief Officer based on information supplied at application 	<ul style="list-style-type: none"> Annual Report Statement of Accounts Equality monitoring return [i.e. nationality, language etc. Provided by IACC] Statement of outcomes achieved and confirmation that the money has been spent in accordance with the application Annual service user feedback 		<p>Annual evaluation of the service (carried out by the Council) to include:</p> <ul style="list-style-type: none"> Extent to which the service level agreement requirements are met Extent to which service meets currently identified needs and priorities Extent to which service has the capacity to meet future predicted needs Value for money Level of service user feedback and action taken.
£10,000 to £25,000	<ul style="list-style-type: none"> Service Level Agreement] with intended outcomes and performance indicators 	<ul style="list-style-type: none"> Annual Report Statement of Accounts Equality monitoring return Statement of outcomes achieved and confirmation that the money has been spent in accordance with the application Annual service user feedback. 	<ul style="list-style-type: none"> Six Monthly service user and referrer evaluation (carried out by the Third Sector organisation) with an action plan linked to the required outcomes Six monthly monitoring 	<p>Annual evaluation of the service (carried out by the Council) to include:</p> <ul style="list-style-type: none"> Extent to which the service level agreement requirements are met Extent to which service meets currently identified needs and priorities Extent to which service has the capacity to meet future predicted needs Value for money Level of service user feedback and action taken.
£25,000 and over	<ul style="list-style-type: none"> Service Level Agreement/contract with intended outcomes, performance indicators and service standards 	<ul style="list-style-type: none"> Annual Report Statement of Accounts Equality monitoring return Service Review attended by appropriate IACC officer [Budget Holder or member of the Commissioning Team] Statement of outcomes achieved and confirmation that the money has been spent in accordance with the application Quarterly service user feedback. 	<ul style="list-style-type: none"> Quarterly monitoring return (template to be agreed with the budget holder and the Third Sector organisation), to include spend to date, estimated spent to the end of the year and estimated total spend for the year. Monitoring meetings may be held to follow up issues raised in monitoring return Quarterly service user and referrer evaluation (carried out by the Third Sector organisation) with an action plan linked to required outcomes. 	<p>Annual evaluation of the service (carried out by the Council) to include:</p> <ul style="list-style-type: none"> Extent to which the service level agreement requirements are met Extent to which service meets currently identified needs and priorities Extent to which service has the capacity to meet future predicted needs Value for money Level of service user feedback and action taken.

BIBLIOGRAPHY

- Isle of Anglesey County Council, 2015, The Anglesey Compact
- Isle of Anglesey County Council, 2015, Procurement Strategy and Policy
- Isle of Anglesey County Council, 2015, Contract Procedure Rules
- Social Services and Well-being (Wales) Act 2014, HMSO
- Wales Audit Office, 2011, Grant Management in Wales (i)
- Welsh Government, January 2014, 'Code of Practice for Funding the Third Sector'



CYNGOR SIR
YNYS MÔN
ISLE OF ANGLESEY
COUNTY COUNCIL

Volunteering Policy

1. Scope

This policy underpins one of the core principles in the Compact agreement between the Isle of Anglesey County Council and Medrwn Môn in relation to volunteering.

The Compact is a partnership agreement between the third sector and the local authority on Anglesey. It provides a framework within which the development of shared aims, objectives, mutual respect and understanding will assist the ability of public and third sector partners to improve the quality of life for the people of Anglesey.

2. Policy Statement

The Council recognises the valuable contribution that volunteers can give to the organisation. Volunteers bring with them a diversity of experience, backgrounds, skills, ages and outlooks, which can provide added value to the services delivered. This policy will:

- Let volunteers know how we will ensure fairness and consistency;
- Enable the volunteers to know where they stand;
- Offer security in terms of knowing how they will be treated;
- Help staff and volunteers understand each others roles.

In addition, this policy sets out the broad principles for working with volunteers within the Council in a fair and equitable manner. Its aim is to ensure that the benefits of volunteering are maximised for both the volunteers and the Council. It is of relevance to all within the Council, including volunteers, staff and elected Members.

This policy is endorsed by the Council as part of the Compact agreement and will be reviewed by the Voluntary Sector Liaison Committee on an annual basis as part of its remit to implement the principles in the Compact agreement.

3. Definition

Volunteering is an important expression of Citizenship as well as an important component of democracy. Volunteers are people who, unpaid and of their own free will, contribute their time, energy and skills to benefit the Community. Volunteering may be for a limited time (for example, to assist in the completion of a particular project or event), or it may be on an on-going basis (for example, to assist in the day to day delivery of a particular service).

The Council recognises its responsibility to arrange its volunteering efficiently and sensitively so that the valuable gift of the volunteers' time is best used to the mutual advantage of all concerned.

Some examples of ways in which volunteers contribute to the work of the Council are given below:-

- School Governors;
- Sport and the support of sport;
- Transport schemes
- Countryside management schemes
- Advocacy (social services)

The Council is committed to involving volunteers in appropriate positions and in ways which are encouraging, supportive and which develop volunteering.

4. Why Have a Policy?

A written policy gives formal recognition to the importance of volunteers and ensures that the following objectives are met:-

- Volunteers are involved in appropriate activities through a suitable selection procedure which includes references and, as required, Disclosure and Barring Service (DBS) checks
- Volunteers are welcomed, feel valued and receive a relevant induction from the service in question;
- Volunteers' contributions are maximised by providing appropriate training and support;
- More people are encouraged to become involved as volunteers;
- More volunteers remain involved.

This policy will help all staff involved in recruiting and managing volunteers to adhere to good practice and support volunteers in a planned and managed way.

5. What are the Benefits of Engaging a Volunteer?

- Experiences and skills can be drawn upon and developed to impact positively on a range of services and projects;
- Volunteers can be flexible and innovative in their approach;
- Volunteers enhance a sense of Community and help to build an inclusive society;
- The Community and the environment benefit from the contribution made by volunteers

6. Principles

6.1 Recruitment and Selection

The Council aims to recruit volunteers from all sections of the community with a wide range of skills, life experiences and knowledge.

The recruitment of volunteers will normally be carried out through the posting of advertisements in leaflets, newsletters and on the corporate website. It may also be done by making informal contact within the existing user community.

All prospective volunteers will be interviewed to discuss their skills, roles and expectations. The selection procedure will broadly follow the processes outlined in the Authority's Recruitment and Selection Policy (where appropriate), which will include references and a check from the Disclosure and Barring Service (DBS) if applicable.

6.2 Status of Volunteers

A volunteer is not an employee or worker and will not have a contract of employment with the Council. All voluntary work undertaken is unpaid. The Council will agree a role with the volunteer and there will be an expectation that the volunteer will meet the role's requirements and that where available the Council will provide work for the volunteer. However, the volunteer is free to refuse to fulfil the role and the Council is not bound to provide the work.

Note: This policy does not apply to foster carers.

6.3 Volunteering Roles

It is the responsibility of the manager working with the volunteer to draw up an outline of the volunteer role. This will set out the duties of the role and the skills and experience necessary, as well as any training that is required before the voluntary work is undertaken. Voluntary work should complement the work of paid staff and provide added value to the benefit of service users. Volunteers are not intended to substitute for paid employees, so care should be taken to ensure that no undue demands are placed on our volunteers, and that they do not undertake work that should normally be carried out by paid staff.

6.4 Supervision and Support

The Council is committed to developing the knowledge, skills and effectiveness of its volunteers. This will be achieved by providing:-

- Appropriate training and development;
- Meaningful work within the priorities of the service;
- Effective supervision;
- Recognition of work completed;
- Clear targets, priorities and appropriate standards for the work assigned.

Volunteers will be entitled to receive a reference from the Council. This is conditional on the volunteer undertaking at least 30 hours of voluntary work of satisfactory standard whilst working directly on behalf of the Council.

6.5 Confidentiality

Where appropriate, volunteers will be required to sign a confidentiality agreement.

6.6 Grievance Procedure

Volunteers should raise any concerns of problems with their immediate supervisor.

6.7 Expenses

Where appropriate, volunteers will be offered reimbursement of reasonable travel expenses from their home to place of work. A mileage allowance for those using their own means of transport is set at 18 pence per mile. All expenses must be agreed in advance with the volunteer's immediate supervisor.

6.8 Notice

The Council or the volunteer should endeavour to provide as much notice as possible of their intention to end the volunteering arrangement.

7. Compliance with Council policies, procedures and protocols

A number of specific issues are relevant to this volunteering policy:-

7.1 Equality and Diversity

The Council is committed to equality and diversity, both in the provision of services and in its role as a major employer, and to the elimination of unfair and unlawful discrimination in all our policies, procedures and practices. The Council treats all people with equal respect, concern and consideration and recognises the valuable contribution made to the Isle of Anglesey by all individuals. Volunteers will be expected to accept and work in accordance with these principles.

7.2 Welsh Language Scheme

The Council recognises equal status for the Welsh and English languages. Welsh and English will be the official languages of the Council and will enjoy the same status and validity in the Council's administration and work. Volunteers will be expected to be aware of this principle.

7.3 Health & Safety

The Council has a responsibility for the health and safety of volunteers. Volunteers must be treated the same as paid staff in respect of health and safety. Volunteers should at all times follow the Council's health and safety policies and procedures. A risk assessment specific to each volunteer work area and role must be undertaken by a qualified person and a copy provided to the volunteer. Volunteers have a duty to take care of themselves and others who could be affected by their actions. Volunteers must not act outside their authorised area of work or duties. Volunteers must report all accidents and near misses to their supervisor.

7.4 Safeguarding Policies

Where appropriate, volunteers need to comply with the Council's Safeguarding policies and protocols in relation to vulnerable people.

7.5 Insurance

7.5.1 General

Volunteers will be indemnified against third party claims under the Council's Public Liability Insurance while carrying out their duties.

7.5.2 Drivers

Any person acting as a volunteer on behalf of the Council which requires the use of a vehicle (private or Council vehicle), must comply with the Council's Fleet and Driver Policy.

7.6 Disclosure and Barring Service (DBS) Check

Where the volunteering activity is with children and young people under the age of 18 years or vulnerable adults the volunteer will be advised that a Disclosure from the Disclosure and Barring Service must be applied for. Guidance will be provided by the relevant Council department.

ISLE OF ANGLESEY COUNTY COUNCIL	
Report to:	The Executive
Date:	19 September 2016
Subject:	The Executive's Forward Work Programme
Portfolio Holder(s):	Cllr Ieuan Williams
Head of Service:	Lynn Ball Head of Function – Council Business / Monitoring Officer
Report Author: Tel: E-mail:	Huw Jones, Head of Democratic Services 01248 752108 JHuwJones@anglesey.gov.uk
Local Members:	Not applicable

A –Recommendation/s and reason/s
<p>In accordance with its Constitution, the Council is required to publish a forward work programme and to update it regularly. The Executive Forward Work Programme is published each month to enable both members of the Council and the public to see what key decisions are likely to be taken over the coming months.</p> <p>The Executive is requested to:</p> <p>confirm the attached updated work programme which covers October 2016 – May 2017;</p> <p>identify any matters subject to consultation with the Council's Scrutiny Committees and confirm the need for Scrutiny Committees to develop their work programmes further to support the Executive's work programme;</p> <p>note that the forward work programme is updated monthly and submitted as a standing monthly item to the Executive.</p>

* Key:
Strategic – key corporate plans or initiatives
Operational – service delivery
For information

B – What other options did you consider and why did you reject them and/or opt for this option?

-

C – Why is this a decision for the Executive?

The approval of the Executive is sought before each update is published to strengthen accountability and forward planning arrangements.

D – Is this decision consistent with policy approved by the full Council?

Yes.

DD – Is this decision within the budget approved by the Council?

Not applicable.

E – Who did you consult?		What did they say?
1	Chief Executive / Strategic Leadership Team (SLT) (mandatory)	The forward work programme is discussed at Heads of Service meetings ('Penaethiaid') on a monthly basis (standing agenda item).
2	Finance / Section 151 (mandatory)	
3	Legal / Monitoring Officer (mandatory)	It is also circulated regularly to Corporate Directors and Heads of Services for updates.
5	Human Resources (HR)	
6	Property	
7	Information Communication Technology (ICT)	
8	Scrutiny	The Executive Forward Work Programme will inform the work programmes of Scrutiny Committees.
9	Local Members	Not applicable.
10	Any external bodies / other/s	Not applicable.

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F – Risks and any mitigation (if relevant)		
1	Economic	
2	Anti-poverty	
3	Crime and Disorder	
4	Environmental	
5	Equalities	
6	Outcome Agreements	
7	Other	
FF - Appendices:		
The Executive's Forward Work Programme: October 2016 – May 2017.		

G - Background papers (please contact the author of the Report for any further information):

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THE EXECUTIVE'S FORWARD WORK PROGRAMME

Period: October 2016 – May 2017

Updated 09.09.16



The Executive's forward work programme enables both Members of the Council and the public to see what key decisions are likely to be taken by the Executive over the coming months.

Executive decisions may be taken by the Executive acting as a collective body or by individual members of the Executive acting under delegated powers. The forward work programme includes information on the decisions sought, who will make the decisions and who the lead Officers and Portfolio Holders are for each item.

Page 48 It should be noted, however, that the work programme is a flexible document as not all items requiring a decision will be known that far in advance and some timescales may need to be altered to reflect new priorities etc. The list of items included is therefore reviewed regularly.

Reports will need to be submitted from time to time regarding specific property transactions, in accordance with the Asset Management Policy and Procedures. Due to the influence of the external market, it is not possible to determine the timing of reports in advance.

The Executive's draft Forward Work Programme for the period **October 2016 – May 2017** is outlined on the following pages.

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THE EXECUTIVE'S FORWARD WORK PROGRAMME

Period: October 2016 – May 2017

Updated 09.09.16

<div>Subject & *category and what decision is sought</div> <div>Decision by which Portfolio Holder or, if a collective decision, why</div> <div>Lead Service</div> <div>Responsible Officer/ Lead Member & contact for representation</div> <div>Pre-decision / Scrutiny (if applicable)</div> <div>Date to Executive or, if delegated, date of publication</div> <div>Date to Full Council (if applicable)</div>							
October 2016							
1	The Executive's Forward Work Programme (S) Approval of monthly update.	The approval of the full Executive is sought to strengthen forward planning and accountability.	Council Business	Huw Jones Head of Democratic Services Cllr Ieuan Williams		The Executive 17 October 2016	
2	Council Tax Premiums Progress report on the estimated additional income to be raised from April 2017 and if relevant, any relevant issues that have arisen to date.		Resources	Marc Jones Head of Function – Resources / Section 151 Officer Cllr Hywel Eifion Jones		The Executive 17 October 2016	
3	Syrian Refugees Progress report regarding the delivery of the resettlement and support programme.	This is a matter for the full Executive in accordance with its decision on 25 April 2016.	Housing	Shan LI Williams Head of Housing Services Cllr Aled Morris Jones		The Executive 17 October 2016	
4	Llawr y Dref, Llangejni – Business Case To consider the results of the consultation process.	This is a matter for the full Executive as it relates to decisions made by the Executive at its meeting held on 26 May 2016.	Housing	Shan L Williams Head of Housing Services Cllr Aled Morris Jones		The Executive 17 October 2016	

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5	North Wales Protocol for the Gypsies and Travellers Community Adoption of protocol	This is a matter for the full Executive as it relates to the adoption of a joint protocol for North Wales.	Housing	Shan L Williams Head of Housing Services Cllr Aled Morris Jones	26 September 2016	The Executive 17 October 2016	
November 2016							
6	2017/18 Budget (S) To finalise the Executive's initial draft budget proposals for consultation.	This is a matter for the Executive as it falls within the Council's Budget Framework.	Council Business	Marc Jones Head of Function – Resources / Section 151 Officer Cllr Hywel Eifion Jones	14 November 2016	The Executive 7 November 2016	
7	The Executive's Forward Work Programme (S) Approval of monthly update.	The approval of the full Executive is sought to strengthen forward planning and accountability.	Council Business	Huw Jones Head of Democratic Services Cllr Ieuan Williams		The Executive 28 November 2016	
8	2017/18 Council Tax Base (S) To determine the tax base for 2017/18.	This is a delegated matter for the Executive as it falls within the Council's Budget and Council Tax setting framework.	Resources	Marc Jones Head of Function – Resources / Section 151 Officer Cllr Hywel Eifion Jones		The Executive 28 November 2016	

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9	2017/18 Council Tax Reduction Scheme To recommend to the Full Council the proposed scheme for 2017/18.	A collective decision is required to make a recommendation to the full Council as part of the Budget and Council Tax setting framework.	Resources	Marc Jones Head of Function – Resources / Section 151 Officer Cllr Hywel Eifion Jones		The Executive 28 November 2016	15 December 2016
10	Transformation of Library Service Update on the possible community partnership options for the service from April 2017 onwards.	An update has been requested for the Executive, as it was not possible to hold a consultation, and to accept the Executive's decision on the preferred model for the libraries service from April 2017.	Learning	Delyth Molyneux Head of Learning Cllr Kenneth P Hughes		The Executive 28 November 2016	
11	Transformation of the Youth Service	The decision of the full Executive is sought with regard to the preferred model for the youth service from April 2017.	Learning	Delyth Molyneux Head of Learning Cllr Kenneth P Hughes	22 November 2016	The Executive 28 November 2016	

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12	Transformation of the Culture Service An update on the proposals received before deciding on the options to implement following expressions of interest by groups/individuals.	The service was requested to provide an update for the Executive as it is not possible to accept a decision by the Executive on the preferred options for implementation in Stage 2 of the transformation programme (from April 2016).	Learning	Delyth Molyneux Head of Learning Cllr Kenneth P Hughes		The Executive 28 November 2016	
13	Housing Anti-Social Behaviour Policy Approval of policy.	The approval of the Executive is requested as this involves matters that are relevant to the Housing Revenue Account.	Housing	Shan Lloyd Williams Head of Housing Services Cllr Aled Morris Jones		The Executive 28 November 2016	
December 2016							
14	Write off of Debts in value of over £5,000 Approve write off of debts.	Finance Portfolio Holder and Section 151 Officer.	Resources	Marc Jones Head of Function – Resources / Section 151 Officer Cllr Hywel Eifion Jones		Delegated Decision December 2016	

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15	The Executive's Forward Work Programme (S) Approval of monthly update.	The approval of the full Executive is sought to strengthen forward planning and accountability.	Council Business	Huw Jones Head of Democratic Services Cllr Ieuan Williams		The Executive 19 December 2016	
16	Council Housing Garages Approval of recommendations.	The approval of the Executive is requested with regard to recommendations on the strategic direction and action plan for garages which form part of the Council's housing stock.	Housing	Shan Lloyd Williams Head of Housing Services Cllr Aled Morris Jones		The Executive 19 December 2016	
January 2017							
17	The Executive's Forward Work Programme (S) Approval of monthly update.	The approval of the full Executive is sought to strengthen forward planning and accountability.	Council Business	Huw Jones Head of Democratic Services Cllr Ieuan Williams		The Executive 23 January 2017	
18	Full Business Case for New School at Bro Rhosyr/Bro Aberffraw Approval of business case.	The approval of the full Executive is sought before submitting the Full Business Case to Welsh Government.	Learning	Delyth Molyneux Head of Learning Cllr Kenneth P Hughes		The Executive 23 January 2017	

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19	Childcare Sufficiency Assessment Approval.	The approval of the full Executive is sought before submitting the Assessment to Welsh Government.	Learning	Delyth Molyneux Head of Learning Cllr Kenneth P Hughes		The Executive 23 January 2017	
February 2017							
20	Write off of Debts in value of over £5,000 Approve write off of debts.	Finance Portfolio Holder and Section 151 Officer.	Resources	Marc Jones Head of Function – Resources / Section 151 Officer Cllr Hywel Eifion Jones		Delegated Decision February 2017	
21	The Executive's Forward Work Programme (S) Approval of monthly update.	The approval of the full Executive is sought to strengthen forward planning and accountability.	Council Business	Huw Jones Head of Democratic Services Cllr Ieuan Williams		The Executive 13 February 2017	
22	2017/18 Budget (S) Adoption of final proposals for recommendation to the County Council.	This is a matter for the Executive as it falls within the Council's Budget Framework.	Resources	Marc Jones Head of Function – Resources / Section 151 Officer Cllr Hywel Eifion Jones	6 February 2017	The Executive 13 February 2017	28 February 2017
23	Treasury Management Strategy 2017/18 Adoption of strategy for the new financial year.	This is a matter for the Executive as it falls within the Council's Budget Framework.	Resources	Marc Jones Head of Function – Resources / Section 151 Officer Cllr Hywel Eifion Jones		The Executive 13 February 2017	28 February 2017

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24	Financial Reserves To provide an update on the situation relating to financial reserves.	This is a matter for the full Executive as it provides assurance of current financial position.	Resources	Marc Jones Head of Function – Resources / Section 151 Officer Cllr Hywel Eifion Jones		The Executive 13 February 2017	
25	Discretionary Business Rate Relief Policy for 2017/18 Approve policy for 2017/18.	There is a requirement for a collective decision by the Executive in detailing additional business rates relief to be awarded to charities and non-profit making organisations.	Resources	Marc Jones Head of Function – Resources / Section 151 Officer Cllr Hywel Eifion Jones		The Executive 13 February 2017	
26	Charges for non-residential services 2017/18 Approval.	A collective decision is required as the matter involves material financial considerations.	Adults' Services	Alwyn Jones Head of Adults' Services Cllr Aled Morris Jones		The Executive 13 February 2017	
27	Standard Charge for Council Care Homes 2017/18 Approval.	A collective decision is required as the matter involves material financial considerations.	Adults' Services	Alwyn Jones Head of Adults' Services Cllr Aled Morris Jones		The Executive 13 February 2017	

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28	Independent Sector Residential and Nursing Home Fees 2017/18 Approval.	A collective decision is required as the matter involves material financial considerations.	Adults' Services	Alwyn Jones Head of Adults' Services Cllr Aled Morris Jones		The Executive 13 February 2017	
29	Charges for independent home care services 2017/18 Approval.	A collective decision is required as the matter involves material financial considerations.	Adults' Services	Alwyn Jones Head of Adults' Services Cllr Aled Morris Jones		The Executive 13 February 2017	
30	Housing Revenue Account Business Plan and Draft Budget 2017-2018 Approval.	The approval of the Executive is requested on the Business Plan and draft capital and revenue budgets.	Housing	Shan Lloyd Williams Head of Housing Services Cllr Aled Morris Jones		The Executive 13 February 2017	
31	Social Services and Well-being Act Needs Assessment Statutory approval of regional assessment.	Statutory code of Practice: "The local authority and Local Health Board are required formally to approve the population assessment report. This will need to be done by the Board of the Local Health Board and in the case of the local authority will need to	Adults' Services	Alwyn Jones Head of Adults' Services Cllr Aled Morris Jones		The Executive 13 February 2017	28 February 2017

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Period: October 2016 – May 2017

Updated 09.09.16

Subject & *category and what decision is sought		Decision by which Portfolio Holder or, if a collective decision, why	Lead Service	Responsible Officer/ Lead Member & contact for representation	Pre-decision / Scrutiny (if applicable)	Date to Executive or, if delegated, date of publication	Date to Full Council (if applicable)
		be approved by the full Council on submission by the Council's Executive or board. This will ensure that the information contained within the report is considered at the most senior levels within these organisations."					
March 2017							
32	The Executive's Forward Work Programme (S) Approval of monthly update.	The approval of the full Executive is sought to strengthen forward planning and accountability.	Council Business	Huw Jones Head of Democratic Services Cllr Ieuan Williams		The Executive 20 March 2017	
33	Discretionary Housing Payments Policy 2017/18 Report on administration of policy in 2016/17 and any recommended changes – determine policy.	There is a requirement for a collective decision by the Executive in detailing additional help towards housing costs for some benefit claimants.	Resources	Marc Jones Head of Function – Resources / Section 151 Officer Cllr Hywel Eifion Jones		The Executive 20 March 2017	

* Key:

S = Strategic – key corporate plans or initiatives

O = Operational – service delivery

FI = For information

THE EXECUTIVE'S FORWARD WORK PROGRAMME

Period: October 2016 – May 2017

Updated 09.09.16

Subject & *category and what decision is sought		Decision by which Portfolio Holder or, if a collective decision, why	Lead Service	Responsible Officer/ Lead Member & contact for representation	Pre-decision / Scrutiny (if applicable)	Date to Executive or, if delegated, date of publication	Date to Full Council (if applicable)
April 2017							
34	The Executive's Forward Work Programme (S) Approval of monthly update.	The approval of the full Executive is sought to strengthen forward planning and accountability.	Council Business	Huw Jones Head of Democratic Services Cllr Ieuan Williams		The Executive 24 April 2017	
May 2017							
35	The Executive's Forward Work Programme (S) Approval of monthly update.	The approval of the full Executive is sought to strengthen forward planning and accountability.	Council Business	Huw Jones Head of Democratic Services Cllr Ieuan Williams		The Executive ...May 2017	

* Key:

S = Strategic – key corporate plans or initiatives

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